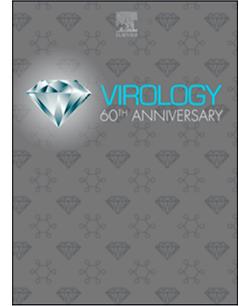


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Variations outside the conserved motifs of PB1 catalytic active site may affect replication efficiency of the RNP complex of influenza A virus

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2 **efficiency of the RNP complex of influenza A virus**

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4
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30 **Abstract**

31 PB1 functions as the catalytic subunit of influenza virus RNA polymerase complex and plays an essential
32 role in viral RNA transcription and replication. To determine plasticity in the PB1 enzymatic site and map
33 catalytically important residues, 658 mutants were constructed, each with one to seven mutations in the
34 enzymatic site of PB1. The polymerase activities of these mutants were quantified using a minigenome
35 assay, and polymerase activity-associated residues were identified using sparse learning. Results showed
36 that polymerase activities are affected by the residues not only within the conserved motifs, but also
37 across the inter-motif regions of PB1, and the latter are primarily located at the base of the palm domain,
38 a region that is conserved in avian PB1 but with high sequence diversity in swine PB1. Our results
39 suggest that mutations outside the PB1 conserved motifs may affect RNA replication and could be
40 associated with influenza virus host adaptation.

41
42 **Key words:** polymerase basic 1, polymerase activity, minigenome assay, enzymatic active site, machine
43 learning.

44
45 **Abbreviations:** IAV, influenza A virus; PB1, polymerase basic 1 protein; PB2, polymerase basic 2
46 protein; PA, polymerase acidic protein; pdm09, A/California/07/2009 (H1N1); mem94,
47 A/Memphis/7/1994 (H3N2); sH4N6, A/swine/Missouri/A01727926/2015 (H4N6); PR8, A/Puerto
48 Rico/8/1934 (H1N1); ep-PCR, error-prone PCR; RdRp, RNA-dependent RNA polymerase; RPER,
49 relative protein expression ratio.

50

51 **Introduction**

52 Influenza A viruses (IAVs) are segmented, negative-sense, single-stranded RNA viruses
53 belonging to the *Orthomyxoviridae* family that are responsible for infecting humans, avian, and various
54 mammalian species, such as swine, equine, canine, and marine mammals [1-3]. IAVs continue to be a
55 major public health concern because of the virus' ability to cause both seasonal and pandemic outbreaks
56 in the human population. Annually, seasonal influenza results in 290,000 to 650,000 deaths worldwide [4,
57 5]. Four influenza pandemic strains have been documented, and at least three of those strains contain
58 polymerase proteins of avian origin [6, 7], indicating polymerase proteins play an important role in
59 influenza host adaptation and the emergence of pandemic viruses.

60 IAVs encode a heterotrimeric RNA-dependent RNA polymerase (RdRp) made up of polymerase
61 basic 2 (PB2), polymerase basic 1 (PB1), and polymerase acidic (PA) proteins. In association with
62 nucleoprotein (NP) and viral RNA, the three polymerase proteins form the ribonucleoprotein (RNP)
63 complex required for the transcription of virally encoded mRNAs as well as the replication of the eight
64 negative-sense, single stranded RNAs known to make up the viral genome [8-11]. Transcription is a
65 primer-dependent process in which 5'- capped oligonucleotides are cleaved from host cell pre-mRNAs as
66 primers for elongation [10, 11]. Replication is a primer-independent process [8] involving two steps in
67 which viral RNAs (vRNAs) first act as a template for the polymerase to generate full-length
68 complementary RNAs (cRNAs), which are then further replicated into nascent vRNAs.

69 Although each of the three polymerase proteins are required for efficient transcription and
70 replication of the influenza viral genome [12], PB1 acts as the backbone and functions as the catalytic
71 subunit of the polymerase complex. It contains the finger, palm, and thumb subdomains, along with
72 several conserved motifs that are characteristic of all viral RdRps [13, 14]. During catalysis, promoter
73 sequences of vRNAs or cRNAs, in the form of a partially complementary panhandle consisting of both 5'
74 and 3'-terminal sequences, first bind to PB1 [15] as an allosteric regulator [16]. The influenza RdRp
75 acquires primers for viral mRNA transcription via "cap-snatching" [11] in close association with the host
76 RNA polymerase II C-terminal domain [17-19]. During cap-snatching, the endonuclease domain of PA

77 first cleaves a capped RNA of 10-13 nucleotides long from the host pre-mRNA [20, 21] bound to the cap-
78 binding domain of PB2 [22, 23], and the PB2 cap-binding domain then rotates to position the capped
79 primer into the catalytic active site of PB1 where it undergoes elongation using vRNA as a template [24].

80 Atomic structures have been reported for several influenza virus polymerase complexes [16, 24-
81 30], providing models of the three polymerase proteins interacting with each other as well as in
82 association with other viral and host factors. The catalytic core of PB1 is made up of highly conserved
83 RdRp motifs known as pre-A/F and A-E [13, 16, 31, 32]. The following residues are located across the
84 PB1 motifs: 229-257 (pre-A/F), 296-314 (motif A), 401-422 (motif B), 436-449 (motif C), 474-486
85 (motif D), and 487-497 (motif E). Analysis of mutations occurring within those reported motifs of PB1
86 proteins illustrates an overall reduction of polymerase activity [33]. One exception is the mutation K480R
87 which increases polymerase activity, but does not enhance virulence [33]. However, several other studies
88 have established that amino acid changes in polymerase proteins can not only increase viral polymerase
89 activity, but also contribute to enhanced virulence [34-37]. While investigation into the conserved regions
90 within the catalytic active site of PB1 have been explored, the impact of mutations outside the reported
91 motifs on polymerase activities of influenza viruses remains opaque.

92 In this study, we mutated residues at the PB1 enzymatic site and attempted to identify the
93 residues affecting polymerase activities to better understand the role of PB1 mutations on viral RNA
94 replication and virus host adaptation.

95

96 **Results**

97

98 **Human, avian, and swine H1N1 IAVs exhibit sequence diversity at the PB1 enzymatic site.** The IAV
99 PB1 enzymatic site is shaped like a right-hand comprising finger, palm, and thumb domains with the
100 catalytic center for template-directed nucleotide addition within the protein formed by highly conserved
101 RdRp motifs known as pre-A/F and A-E (Fig. 1A). To identify potential residues in the PB1 enzymatic
102 site that may be important for host adaptation, we compared PB1 protein sequences of 11,965 H1N1

103 IAVs from human, swine, or avian origin. Our analyses focused on residues 393 to 490, a region
104 encompassing motifs B, C, D and a portion of motif E within the finger and palm domains (Fig. 1B).
105 Results showed that both avian and human PB1 harbor variations at seven residues: avian at 400, 430,
106 433, 435, 455, 473, and 486 (motif D) and human at 397, 430, 435, 456, 457, 473, and 486 (motif D).
107 Swine PB1 were the least conserved (Supplementary Fig. 1), and variations were located across eighteen
108 residues, including 393, 397, 398, 400, 429, 430, 433, 435, 451, 454, 455, 456, 457, 464, 469, 473, 480
109 (motif D), and 486 (motif D). Among the residues, residue 486 in motif D was observed to vary across
110 and within human, avian, and swine PB1. The level of sequence diversity varies significantly depending
111 on both the PB1 position and the host origin of the virus (Table 1).

112 Taken together, these results suggest that variations are located both inside and outside of the PB1
113 conserved motifs, and the palm region in swine PB1 is the least conserved.

114
115 **PB1 mutant library generated by error-prone PCR (ep-PCR) based mutagenesis strategy.** To
116 identify polymerase activity-associated residues, we used the PB1 of A/California/07/2009 (H1N1)
117 (abbreviated as pdm09) as the template to construct a mutant library, focusing on residues 393-490, by
118 using an error prone-PCR based mutagenesis method [38]. The pdm09 is a swine-origin IAV, with PB1
119 from human seasonal subtype H3N2 IAV [39]. In total, 1,385 clones were generated, among which 658
120 did not have stop codons. Out of the 658 clones without stop codons, 480 are unique mutants with 1 to 7
121 mutations in the target region, including 200 plasmids with a single mutation, 168 with double, 71 with
122 triple, 25 with quadruple, 13 with quintuple, 1 with six, and 2 with seven mutations (Fig. 2A). Further
123 analysis of the 480 unique mutants indicated that 169 have mutations located only at the conserved motifs
124 (105 with a single motif and 64 across multiple motifs), 139 contain mutations only at inter-motif regions
125 (95 at a single region and 44 across multiple regions), and 172 have mutations across at least one inter-
126 motif and one motif region.

127

128 **Polymerase complex activity of wild-type and PB1 mutant library.** To determine plasticity in the PB1
129 enzymatic site, we determined polymerase activities of each PB1 mutant using a minigenome assay, in
130 which cells were transfected with four plasmids expressing PB2, PB1, PA, and NP, each with a human
131 RNA pol I promoter and a human cytomegalovirus (HCMV) pol II promoter [40], a plasmid expressing
132 *Renilla* luciferase with a human RNA pol I promoter, and another plasmid expressing *firefly* luciferase but
133 with a simian virus 40 (SV40) pol II promoter. Through the HCMV pol II promoter, mRNAs for the four
134 viral RNP genes were first synthesized by host polymerase and translated to produce the four RNP
135 proteins, which then functions to package, replicate and transcribe the negative-sense RNAs of the viral
136 PB2, PB1, PA, NP genes and the *Renilla* luciferase reporter produced from the human RNA pol I
137 promoter [41-43]. The SV40 pol II promoter ensures that the *firefly luciferase* activity is transcribed and
138 translated by host machinery independent of the viral RNP. With the same amount of plasmids and host
139 cells used in the transfection, a higher *Renilla* luciferase activity would indicate a more efficient
140 polymerase activities of the testing RNP complex whereas the *firefly* luciferase activity is expected to be
141 constant. To make the analyses quantifiable, the luciferase activity (L) for a testing RNP complex is
142 calculated by *Renilla/firefly*, and the relative polymerase activity (R) for a mutant by $L_{\text{mutantPB1}}/L_{\text{WT-PB1}}$.

143 Our results show extensive diversity in the replication efficiencies among the 480 PB1 mutants.
144 We categorized these mutants into four groups: high ($R \geq 2.00$; $n = 35$), moderate ($2.00 > R \geq 0.50$; $n =$
145 99), low ($0.50 > R \geq 0.10$; $n = 50$), or abolished polymerase activity ($R < 0.10$; $n = 296$) (Fig. 2B).
146 Further analyses showed that the group of the mutants with only mutation(s) at inter-motif regions are
147 more likely to be associated with increasing polymerase activities. For example, 27 out of 35 (77.14%)
148 mutants in the group of high polymerase activities have only mutations at inter-motif regions. However,
149 there is a moderate level of plasticity in harboring mutations within conserved motif regions. For example,
150 among those 35 mutants in the group of high polymerase activities, 8 have mutations in conserved motifs
151 (1 in motif B, 4 in C, and another 3 in D). On the other hand, among the 296 mutants in the group of
152 abolished polymerase, only 50 have only mutations at the inter-motif regions.

153 To confirm that variations in luciferase activities correlate with the PB1 mutant specific
154 polymerase activities, we examined the NP protein expression using minigenome assays. We expect that
155 an inefficient PB1 would result in an overall inefficient RNP complex, and ultimately in a low level of all
156 RNP proteins, including NP protein. Specifically, four mutants expressing moderate (Y436F, R = 1.449;
157 H456Q, 1.718; S478C, 0.4232; I459T, 0.419) and low and abolished polymerase activities (I475F, 0.0045;
158 M409L, 0.012), were selected for western blot analyses (Fig. 2C). The NP protein expression was first
159 normalized by β -actin, and then we calculated the relative protein expression ratio (RPER) by the ratio of
160 the normalized NP value for a mutant PB1 over that of the WT PB1. Compared with the WT NP (RPER =
161 1.0), mutants Y436F and H456Q had similar NP protein expression, with a relative protein expression
162 ratio of 1.04 and 0.92, respectively. Mutants S478C (a relative protein expression ratio, 0.48) and I459T
163 (0.29) exhibited significantly decreased NP protein expression. Mutants I475F (0.04) and M409L (0.05)
164 exhibited little to no detectable NP protein expression (Fig. 2D). The negative control group had no
165 detectable protein expression. These results indicate that the polymerase activities of the mutants are
166 associated with efficiency of viral RNA replication and, consequently, the quantities of NP protein in the
167 RNP complex.

168 Taken together, the 480 PB1 mutants generated by error-prone PCR had a large extent diversity
169 on polymerase activities, and the mutations at both the conserved motifs and the inter-motif regions of the
170 PB1 catalytic active site can increase and decrease polymerase activities. However, the positions at the
171 inter-motif regions had a greater plasticity compared those in the conserved regions.

172

173 **Multi-task sparse learning identifies residues associated with polymerase activities.** To map residues
174 affecting the polymerase activities, we naturally formulated this problem as a machine learning algorithm
175 aiming to select feature residues (input variable) affecting polymerase activities (output variable).
176 Specifically, we defined the luciferase activities as phenotype output variables, and mutations as input
177 variables. Two widely used sparse learning regression methods, Elastic Net [44] and LASSO [45, 46],
178 and three feature scoring functions (binary, 3 groups of amino acids, and Protein-Protein Interactions in

179 Macromolecular Analysis), were compared (see details in Materials and Methods). The cross-validation
180 analyses suggest the LASSO-PIMA regression model had the best performance (Supplementary Table 1)
181 and, thus, was selected for further analyses as described below.

182 A total of 20 residues across the PB1 393-490 region were identified to be associated with
183 polymerase activities, including 395, 400 (located in the finger domain), 402 (motif B), 417 (motif B),
184 419 (motif B), 428, 429, 432, 434, 442 (motif C), 443 (motif C), 446 (motif C), 447 (motif C), 459, 460,
185 462, 481 (motif D), 482 (motif D), 486 (motif D), and 489 (motif E) (Table 2 and Supplementary Table 2).
186 To better understand how these residues impact viral RNA synthesis, we further modeled a RNA template
187 / a short RNA primer / nucleotide substrate / two divalent metal ions into the influenza A virus
188 polymerase by superimposing the structure of the IAV polymerase with that of a reovirus polymerase
189 elongation complex [47] (Fig. 3).

190 Among these residues, residues 395 and 400, which are located in or near the finger domain, form
191 the outer rim of the template RNA entry tunnel and, therefore, are likely to participate in RNA template
192 binding (Fig. 3C). S402 is largely buried within the template tunnel and, thus, a mutation at this residue
193 could adversely affect RNA template entry. Residues 428 and 429 are located at the end of the palm
194 domain and distant from the catalytic active center. Our model also shows that S443 forms hydrogen
195 bonds to priming RNA strand (Fig. 3D). Residue 446 is the second aspartic acid of the strictly conserved -
196 SDD- in motif C, and our model shows that it is essential for coordinating one of the divalent metal ions.
197 K481 from motif D is predicted to directly interact with the phosphate moiety on the incoming nucleotide
198 substrate (Fig. 3C), and R486 forms a salt-bridge with residue E677 from the PA subunit. Residue 489 is
199 found at the interface between the palm and thumb domain facing the PA subunit (Fig. 3D). Residues
200 417, 419, 442, 447, and 482 are at the vicinity of the catalytic active site, but have no direct contact with
201 the RNA/substrate/metal ions (Fig. 3D); residues 432, 434, 459, 460 and 462 are clustered together
202 underneath the four-stranded β -sheet in the palm subdomain (Fig. 3D), and most of these residues are
203 surface-exposed, raising the possibility this region may serve as a binding site for a yet unidentified
204 viral/host factor.

205 Taken together, these results support our hypothesis that residues outside of those reported motifs
206 may play pivotal roles for polymerase activity, in addition to previous findings that residues within the
207 reported motifs of PB1 are important for replication/transcription of influenza polymerase complexes [16,
208 33].

209

210 **Luciferase activity of PB1 mutants in various influenza RNP complex backgrounds.** Among those 20
211 sites we identified (Table 2), residue 400 varies among avian and swine PB1 and residue 486 varies
212 among avian, swine, and human PB1 (Table 1). Therefore, these two residues were selected to evaluate
213 their effects on polymerase activities in two different RNP backgrounds: A/Memphis/7/1994 (H3N2)
214 (abbreviated as mem94), a human seasonal IAV, and A/swine/Missouri/A01727926/2015 (H4N6)
215 (sH4N6), an avian origin IAV.

216 Results show that, compared to WT mem94 PB1, with three genes (PB2, PB1, and PA) from
217 mem94, pdm09PB1-T400S significantly decreases the polymerase activities whereas K486R increases
218 the polymerase activities instead (Fig. 4B). With all four RNP genes from mem94 (after we introduced
219 T400S and K486R into the PB1 of mem94), both T400S and K486R significantly increases the
220 polymerase activity, compared to WT mem94 PB1 (Fig. 4C). However, neither T400S nor R486K
221 mutations contain significant variations in polymerase activities with sH4N6 RNP background (Fig. 4D
222 and 4E).

223 Taken together, the effects of those mutations at the PB1 enzymatic site on the polymerase
224 activities are not only position dependent (Table 2), but are also affected by the composition of three other
225 genes (i.e., PB2, PB1, and NP) of the RNP complex.

226

227 Discussion

228 The structure and function of the PB1 protein in the IAV RNP complex has been studied
229 extensively, and it is well known that the catalytic active site of PB1 is made up of highly conserved
230 motifs pre-A/F, A, B, C, D, and E [13, 25]. Studies have demonstrated that mutations occurring in these

231 reported motifs of PB1 reduce or even abolish the replication efficiency of the RNP complex [16, 33].
232 However, sequence analyses suggest that variation does occur in nature at residues in the inter-motif
233 regions of PB1 proteins, which could be host-dependent (Table 1). Nevertheless, there is still a lack of
234 systematic studies on how such variation affects the replication efficacy of the RNP complex. In this
235 study, we hypothesize that, in addition to those in the reported motifs, residues outside of the conserved
236 PB1 motifs affect polymerase activities. To test this hypothesis, we constructed a mutant library (n = 658)
237 targeting the PB1 catalytic active site (residues 393 to 490) of pdm09, which includes four reported
238 motifs (B, C, D, and E) and three inter-motif regions with evident sequence variations (Fig. 1).
239 Phenotypic characterization of these PB1 mutants using a minigenome assay demonstrated a large
240 variation in polymerase activities. Results from machine learning showed that, in addition to those at the
241 reported motifs, the mutations at inter-motif regions can enhance polymerase activities, validating our
242 hypothesis. In addition, the diverse phenotypic variations among PB1 mutants in our library and those
243 identified by machine learning suggest that residues within inter-motif regions of PB1 should be explored
244 further for potential roles in viral host adaptation. Furthermore, our results also suggest that there is a
245 moderate level of plasticity in the PB1 enzymatic site, including those residues within the conserved
246 motifs.

247 Among the residues associated with polymerase activity, nine residues are located at the inter-
248 motif regions and 11 in conserved motifs B, C, and D, and these residues include those reported by others,
249 such as residues 446 [16, 48] and 480 [25] (Table 2). As expected, residues affecting polymerase
250 activities not only depend on position, but also specific mutations at the position. In general, a large
251 change in the biophysical properties of an amino acid can lead to variations in polymerase activity, and
252 many of these changes in the conserved motif can lead to a decrease in polymerase activities [16, 48]. For
253 example, the aspartic acid residing at residue 446 was previously reported to be important for polymerase
254 activity [16, 48] while maintaining protein stability [33]; this particular aspartic acid has been implicated
255 as one of the aspartic acids responsible for coordinating two divalent metal ions required for catalysis [13,
256 16, 31, 32], as was also supported by our structural modeling results (Fig. 3D). Motif D hosts two

257 conserved lysine residues at positions 480 and 481 that are important for NTP binding (Fig. 3C) [25], and
258 a change from lysine to arginine at residue 480 has been shown to increase polymerase activity in
259 pandemic H1N1 and H5N1 RNP complexes [33]. Due to the involvement of residues 480 and 481 with
260 NTP binding, it is possible that changes at either residue to a non-basic amino acid could impair binding
261 of incoming NTPs and, thus, subsequent steps of catalysis. Furthermore, these two lysine residues are
262 stabilized by contacts with α -helix 20 of PA (residues 656-663) [25].

263 In addition to those residues reported, this study mapped a few new polymerase associated
264 residues, including those within the conserved motifs and at the inter-motif regions (Table 2). For
265 example, residues 402, 443, and 486 are located in reported motifs B, C, and D. Structural modeling
266 suggests that S443 forms hydrogen bonds to priming RNA strand (Fig. 3D) and R486 forms a salt-bridge
267 with residue E677 from the PA subunit. The roles of S443 in vRNA synthesis and the potential impacts
268 these residues may have on structure stability should be explored further; variation has been shown to
269 occur at residue 486 among human, swine, and avian hosts (Table 1, Fig. 1C, Supplementary Fig. 1), and
270 this position should be explored further for any potential role it may play in host adaptation. Residues 432,
271 434, 459, 460, and 462 are located in the inter-motif regions and are found at the base of the palm domain
272 of PB1. Residues 432 and 434 are located in a turn between α -helix 12 of the palm domain and β -sheet
273 16; whereas, residues 459, 460, and 462 are found in α -helix 14 [25]; of note, most of these residues are
274 located at surface-exposed regions (Fig. 3D), and whether this region interacts with an unknown host
275 factor needs to be further investigated. Structural modeling suggests that residues 395 and 400 at an inter-
276 motif region could affect RNA template binding (Fig. 3C); however, the majority of the residues may not
277 have direct contact with RNA/substrate/metal ions (Fig. 3D) and how these residues influence polymerase
278 activities have remained relatively unknown.

279 Besides humans, pigs, dogs, horses, and sea mammals (e.g. seals and whales), IAVs have been
280 recovered from variety of bird species, including at least 105 wild bird species of 26 different families
281 [49]. In addition to glycan receptor binding preference, the genetic constellation of RNP complex and

282 adaptative mutations in RNP genes, including PB1, have been shown to affect the host and tissue
283 tropisms of IAVs [50-53]. Prior studies suggest that an avian RNP complex has defects in its replication
284 efficiency in human cells, and mutations occurring across PB2, PB1, PA, and NP have been demonstrated
285 to facilitate the adaptation of avian IAVs in humans and other mammalian species (reviewed in Mänz et
286 al. [54]). Our results show mutations in PB1 can either increase or decrease polymerase activities. While
287 the majority of residues are conserved among all IAVs, including those from human, swine, and avian
288 IAVs, diverse genetic variation occurs at a small number of PB1 residues, especially those within the
289 inter-motif regions in human and swine IAVs, but not in avian IAVs (Table 1, Supplementary Figure 1).
290 Previous studies suggest that V473L of PB1 contributes to high polymerase activity and affects pdm09
291 viral replication in mammalian cell lines [55]. In our PB1 mutant library, the diverse polymerase activities
292 also confirm that variations at residues in inter-motif regions that occur in nature such as 400, 433, 435,
293 and 456 (Fig. 1C and Supplementary Fig.1) increase polymerase activities compared to the pdm09 WT
294 PB1 (data not shown). Two residues, 400 and 486, that vary in nature were identified by machine learning
295 to be associated with polymerase activities (Table 2). Residues 400 and 486 are located in an inter-motif
296 region and conserved motif D, respectively. Introduction of T400S and K486R in PB1 proteins enhanced
297 polymerase activities of the human seasonal H3N2 RNP but not of avian origin swine H4N6 RNP (Figure
298 4), indicating the importance of these two mutations in host adaption from avian to mammals but not *vice*
299 *versa*. Of note, residue 486 of most human and swine IAVs can harbor both K and R but those of avian
300 IAVs have predominantly K (Fig. 1 and Supplementary Fig. 1). Taken together, our results suggest that
301 residue variations at the PB1 enzymatic site, especially those at the inter-motif regions, can enhance the
302 RNP complex activity in mammals and could be associated with host adaptation when IAVs are
303 transmitted from birds to mammals. Further studies are needed to evaluate how these mutations affect
304 RNP activities in avian systems.

305 To evaluate how biophysical properties of amino acids alter polymerase activities shown in Table
306 2, we use machine learning methods and grouped the 20 amino acids into three categories: nonpolar (V, L,
307 I, M, C, F, W, and Y), small nonpolar (G, A, and P), and polar/charged (S, T, N, Q, H, D, E, K, and R).

308 Results from sparse learning showed that the polymerase activities are amino acid type dependent and
309 that at the same position, some mutations can increase polymerase activities, whereas others decreased or
310 had no effect on polymerase activities (Supplementary Table 3). For example, changes in the pdm09 PB1
311 from polar/charged to nonpolar amino acids at residue 417 increased polymerase activities, whereas the
312 same changes at residues 402 and 481 decreased polymerase activity. A change from polar/charged amino
313 acid at residue 400 to another polar/charged or nonpolar amino acid at residue 400 can increase activities;
314 whereas, a change from polar/charged to small nonpolar at this residue decreases activities. When
315 switching amino acids from polar/charged to nonpolar at residue 486, polymerase activities are reduced.
316 However, this type of chemical change at residues 429 and 462 result in an increase in activity.
317 Nevertheless, by integrating large-scale mutagenesis, minigenome analyses, and machine learning, this
318 study effectively identified a handful of important residues capable of affecting polymerase activities,
319 while also supporting previous findings [16, 33].

320 There are several limitations in this study. First, the polymerase activities were determined using
321 a minigenome *in vitro* platform, but not with the entire viral genome, which has four additional genes HA,
322 NA, MP, and NS. Because each of the four plasmids expressing PB2, PB1, PA, and NP gene has a human
323 RNA pol I promoter and a human HCMV pol II promoter [40], we would expect the RNP with a testing
324 PB1 mutant to affect both transcription and replication efficiency, which are ultimately reflected by the
325 luciferase activities we quantified. Nevertheless, we evaluated the protein expression and confirmed that,
326 in the minigenome system we used, the level of NP protein expressions correlated with replication and
327 transcription efficiencies of RNP complexes, which contain heterologous PB1 but identical PB2, PA, and
328 NP (Fig. 2D). To further assess the impacts of testing PB1 mutants within the context of viral genomics,
329 we tested the replication efficiency of PB1-F412L (abolished) and PB1-H456Q (high) along with seven
330 other genes of pdm09, and results showed that PB1-F412L could not be rescued, and that, from growth
331 kinetics analyses, the PB1-H456Q mutant virus replicated more efficiently at each of the three testing
332 temperatures than the WT pdm09 (Supplementary Fig. 2). However, further experiments will be required
333 to evaluate the effects of these mutations on cell, tissue, and host tropisms. Additionally, this study

334 focuses only on a small region of PB1, and it is known that other residues outside our target region, as
335 well as other genes of the RNP complex, can impact polymerase activities of IAVs. For example, residue
336 375 has been implicated to be important for host adaptation [56]. In the future, we will extend our study
337 to other regions of PB1 as well as the remaining genes of the RNP complex.

338 In summary, we report the use of large-scale mutagenesis strategies combined with machine
339 learning to map key residues affecting polymerase activities of influenza viruses. In addition to those
340 reported in the literature, this study identified a set of new residues associated with polymerase activity,
341 and about half of these residues are located at the inter-motif region. The variations at these residues
342 could be associated with virus host adaptation when an IAV switches a host (e.g. from avian to swine).

343

344 **Materials and Methods**

345 **Cells.** Madin-Darby Canine Kidney (MDCK) cells and human embryonic kidney (HEK) 293T cells (both
346 from American Type Culture Collection, Manassas, VA) were maintained in Dulbecco's Modified Eagle
347 Medium (DMEM; GIBCO/BRL, Grand Island, NY). The cell medium was supplemented with 10% fetal
348 bovine serum (Atlanta Biologicals, Lawrenceville, GA), and 1% penicillin–streptomycin (100 U/mL and
349 100 µg/mL, respectively (GIBCO/BRL)). Cells were maintained at 37°C with 5% CO₂.

350

351 **Viruses.** The A/California/07/2009 pandemic H1N1 (abbreviated as pdm09) virus was used as the
352 template for PB1 plasmid generation. Of note, the PB1 of pdm09 has been circulating at the animal-
353 human interface over the past 50 years: this gene was first introduced from avian to human during 1968
354 H3N2 pandemics, transmitted to swine population in the 1990s, and then back to humans during 2009
355 H1N1 pandemics [6, 7]. To test the effects of the PB1 mutations on polymerase activities when combined
356 with different RNP background, in addition to the prototype laboratory-adapted strain A/Puerto
357 Rico/8/1934 (H1N1) (abbreviated as PR8), A/Memphis/7/1994(H3N2) (abbreviated as Mem94) and
358 A/swine/Missouri/A01727926/2015 (H4N6) (sH4N6) were used. Mem94 was selected because it has a
359 PB1 gene resembling the pdm09 precursor and also bears other RNP genes of H3N2 seasonal IAVs; and

360 sH4N6 was a spillover virus from avian and selected to represent an emerging virus at the animal-human
361 interface, posing a potential risk in generating novel reassortants. All viruses were propagated in MDCK
362 cells (the American Type Culture Collection, Virginia, USA) at 37°C with 5% CO₂ in Opti-MEM I
363 Reduced Serum Medium (Thermo Fisher Scientific, Asheville, NC, USA) supplemented with 1 µg/mL of
364 TPCK-trypsin (Gibco, New York, USA) before being used in the molecular cloning.

365
366 **Molecular cloning.** Construction of full length, wild-type protein expression plasmids encoding virus
367 polymerase (PB2, PB1, and PA) and NP proteins was performed as previously described [40]. Briefly,
368 viral RNA was isolated using GeneJET Viral RNA Purification Kit according to the manufacturer's
369 instruction (Thermo Fisher Scientific, Pittsburgh, PA). The reverse transcription was performed using
370 SuperScript III Reverse Transcriptase and a pair of influenza A virus-specific primer Uni12, and gene
371 specific primers for PB2, PB1, PA, or NP was used to amplify the full-length gene fragments using the
372 following PCR protocol: one cycle at 98° C for 30 sec, one cycle at 98°C for 10 sec, followed by 35
373 cycles at 53°C for 30 sec, 72°C for 2 min, and 72°C for 10 min. PCR products were then purified through
374 gel electrophoresis and extracted using the GeneJET Gel Extraction Kit (Thermo Fisher Scientific,
375 Pittsburgh, PA). The clones were sequenced by Eurofins Genomics (Huntsville, AL) to confirm that no
376 unexpected mutations were introduced.

377
378 **Error-prone PCR (ep-PCR) based mutagenesis method to generate PB1 mutant library.** The
379 GeneMorph II Random Mutagenesis Kit (Agilent Technologies, Santa Clara, CA) was used to introduce
380 random mutations at the location aa 393-491 using primers (mutation F: 5'-
381 TAAGGCCTCTTCTAATAGATGG-3'; mutation R: 5'-GTGAATTCAAATGTCCCTGTC-3'). The
382 PCR product was used as primer to perform site directed mutagenesis. The epPCR amplification mixture
383 consisted of: 41.5 µl of distilled water, 5 µl of 10x Mutazyme II buffer, 1.25 µl of 10mM
384 deoxyribonucleotide triphosphates, 1 µl of 10 µM primer F, 1 µl of 10 µM primer R, 1 µl of Mutazyme II
385 DNA polymerase (Agilent Technologies, Santa Clara, CA), and 1 µl of polymerase basic 1 plasmid of

386 CA/07/09 (20 pg/ μ l). The following epPCR parameters were used: one cycle at 95°C for 5 min,
387 followed by 30 cycles at 94°C for 1 min, 50°C for 1 min, and 72°C for 2 min, and finally one cycle at
388 72°C for 10 min. QuickChange II Site-Directed Mutagenesis Kit (Stratagene, La Jolla, CA) was used to
389 perform site directed mutagenesis per the manufacturer's instructions. The PCR product (2 μ L) was
390 digested with *DpnI* at 37°C for 1 hour and transformed into XL-1blue competent cells (Agilent
391 Technologies, Santa Clara, CA). The transformed cells were directly inoculated on Luria Bertani (LB)
392 agar with 50 μ g/ml Ampicillin. Single colonies were grown, and plasmid DNA were extracted using the
393 GeneJET Plasmid Miniprep Kit (Thermo Fisher Scientific).

394

395 **Validation of mutations through sequencing.** Plasmid DNAs were sent to Eurofins Genomics for
396 sequencing. Samples were prepared per manufacturer's instructions. DNASTAR LaserGene® and
397 BioEdit Sequence Alignment Editor were used to analyze sequence data for mutations.

398

399 **Luciferase activity to quantify ribonucleoprotein complex expression of PB1 mutants *in vitro*.** A

400 total of 4×10^4 293T cells were transfected with polymerase protein expression pHW2000 plasmids PB2,
401 PA, NP, and mutant or wild-type pdm09 PB1 plasmids (40 ng) in Corning® 96 well plates. The pHW2000
402 plasmid was kindly provided by Dr. Richard Webby from St. Jude Children's Research Hospital, and
403 expressed a human RNA polymerase I promoter and a pol II promoter of the human cytomegalovirus
404 [40]. 40 ng of plasmid phPOLI-RLUC expressing *Renilla* luciferase controlled by the human RNA
405 polymerase I promoter and 4 ng of pGL4.13 [*luc2/SV40*] expressing *firefly* luciferase (Promega,
406 Madison, WI) were also co-transfected in 293T cells. After transfection, cells were incubated at 37°C for
407 48 hours. Luciferase activities were measured in cell lysates after an incubation period using the Dual-
408 Luciferase Reporter Assay System (Promega, Madison, WI) per the manufacturer's instructions. PB1
409 mutant and wild-type pdm09 PB1 activities were measured in triplicate. Luciferase activity levels were
410 expressed as normalized values to the wild-type pdm09 PB1 values.

411

412 **Site-directed mutagenesis.** The QuickChange Lightning Site-Directed Mutagenesis Kit (Agilent
413 Technologies, Santa Clara, CA) was used to introduce mutations at residues of PB1 proteins from
414 A/Memphis/7/1994 (H3N2) and A/swine/Missouri/A01727926 (H4N6) IAVs. In total, 2 mutations were
415 introduced into each of the PB1 proteins. We used forward primer 5'-
416 TCCAGGGCTCAATGATGCGGAGCCATCTATTAGAAGAGG-3' and reverse primer 5'-
417 CCTCTTCTAATAGATGGCTCCGCATCATTGAGCCCTGGA-3' to generate mutation T400S into the
418 A/Memphis/7/1994 PB1. Forward primer 5'-
419 TGAATTCAAATGTCCTGTCTATTTATATAGGACTTCTTTTTGCTCATGTTGATTCC-3' and
420 reverse primer 5'-
421 GGAATCAACATGAGCAAAAAGAAGTCCTATATAAATAGGACAGGGACATTTGAATTCA-3'
422 were used to generate the K486R mutation into the A/Memphis/7/1994 PB1. The forward primer 5'-
423 GGGCTTAATGAGGCGGAACCATCTATTAGAAGAGGCCTTAT-3' and reverse primer 5'-
424 ATAAGGCCTCTTCTAATAGATGGTTCCGCCTCATTAAAGCCC-3' were used to generate the T400S
425 mutation into the A/swine/Missouri/A01727926 (H4N6) PB1. Finally, the forward primer 5'-
426 AATTCAAATGTTCTGTCTTGTGTTTATGTAGGACTTCTTCTTGCTCATGTTGA-3' and reverse
427 primer 5'-TCAACATGAGCAAGAAGAAGTCCTACATAAACAAGACAGGAACATTTGAATT-3'
428 were used to introduce the R486K mutation into the A/swine/Missouri/A01727926 (H4N6) PB1.
429

430 **Western blot analysis.** 293T cells in 6-well culture plates were transfected with polymerase protein
431 expression plasmids PB2, PA, NP, and wild-type or PB1 mutants. Cell supernatants were treated with
432 RIPA buffer and then prepared for SDS-PAGE analysis. NP expression in the cell lysates was detected by
433 primary antibodies specific for NP (diluted 1:500, BEI Resources, USA). The secondary antibody anti-
434 mouse IgG HRP (diluted 1:5,000, available in our group) was used. β -actin (diluted 1:5000, Sigma-
435 Aldrich, St. Louis, MO) was used as an internal control. HRP presence was detected using the Pierce
436 DAB Substrate Kit (Thermo Fisher Scientific, USA) following the manufacturer's protocol.
437

438 **Multi-task sparse learning.** Sparse learning is a machine learning technique used frequently to extract a
 439 small number of significant features from a set of experimental data. The advantage of sparse learning
 440 over other conventional machine learning approaches is that its efficiency and generalizability generates
 441 accurate models using a small number of non-zero elements. Sparse learning also takes advantage of the
 442 sparsity of predominant features in influenza proteins. This is important, because high dimensional
 443 features can be redundant and noisy, resulting in poor performance generalization [57]. Previously, we
 444 have successfully applied sparse learning in determining antigenicity-associated features for influenza
 445 viruses [46, 58-60]. In this study, sparse learning was used to determine the most important residues
 446 affecting polymerase activity in the target region of the pdm09 PB1 sequence. Our sparse learning
 447 approach addresses the redundancy and noise levels present in replication efficiency data. Thus, we
 448 expect the sparse learning method to increase performance in feature selection and facilitate data
 449 interpretation.

450 Specifically, two sparse learning regression methods were used: the L1-norm regularized method
 451 (LASSO) and the L1- and L2-norm regularized method (Elastic Net). Briefly, the LASSO regression
 452 seeks to minimize the following: $\|y-(x \cdot w+b)\|_2^2 + \lambda_1 \|w\|_1$ while the Elastic Net regression seeks to minimize:
 453 $\|y-(x \cdot w+b)\|_2^2 + \lambda_1 \|w\|_1 + \lambda_2 \|w\|_2^2$, where y is the matrix of actual response values, w is the matrix of
 454 weights, x is the matrix of explanatory values, b is the bias term, λ_1 and λ_2 are constraint parameters, and
 455 $\|\cdot\|_1$ and $\|\cdot\|_2$ are the L1- and L2-norms.

456 To determine distances among the residues, three approaches were used: 1) the binary method, 2)
 457 the Protein-Protein Interactions in Macromolecular Analysis (PIMA) method, and 3) the 3 groups of
 458 amino acids method. In the binary method, amino acid sequence i was encoded into a vector of binary
 459 values, x_i , by comparison to a wild-type sequence. Element j (representing the j th residue) of x_i was
 460 encoded to 0 if the residue in position j matched the wild-type residue in position j and 1 otherwise. In the
 461 PIMA method, amino acid sequences were encoded into vectors x_i by comparison to a wild-type sequence

462 as previously demonstrated [59]. Element j (representing the j th residue) was encoded based on the type
463 of residue mutation at the j th residue. Mutations between different pairs of residues were given a weight
464 between 0 and 5, inclusive. In the 3 groups method, amino acid sequences were encoded into vectors x_i
465 by comparison to a wild-type sequence. Each amino acid residue was assigned to one of 3 groups:
466 nonpolar, small nonpolar, and polar/charged. Each position was given several states to indicate mutations
467 between amino acid groups from the wild-type to the sequence in question, of which exactly one, the state
468 indicating a mutation from amino acid group X to amino acid group Y, is set equal to 1 (if no mutation
469 occurred, then the state indicating a mutation from amino acid group X to itself is set equal to 0). All
470 other states for the position are set to 0 since those mutations did not occur. Since there are 3 groups, there
471 are 9 different possible combinations (with a mutation from X to Y being counted as a distinct mutation
472 from Y to X), meaning a total length of 900 for each vector x_i .

473 For each of the above three methods, vectors x_i were thus constructed for all amino acid
474 sequences for a total of 658 plasmid vectors (the wild-type sequence was also compared to itself). These
475 vectors were all put into a matrix of explanatory values, x , with 658 rows and 100 columns (or 900
476 columns for the 3 groups method). Similarly, the vector y of response values was constructed by
477 comparison to the wild-type response values. Element j was computed by dividing the response of viral
478 strain j by the response of the wild-type strain (the wild-type response was also compared to itself).
479 Vector y , therefore, had 658 rows and 1 column.

480 Applying LASSO or Elastic Net regression provided the weight vector w with dimension 1×100
481 (or 900 for the 3 groups method) and the bias vector b with dimension 658×1 (with all elements being
482 the same). For the binary and PIMA methods, element i of w represented the weight for position i of the
483 amino acid sequence. Therefore, a greater magnitude of weight indicated that position i was more
484 significant than a position with a lower magnitude of weight. For the 3 groups method, each position i
485 was given 9 weights representing each of the 9 possible mutations states. For instance, a higher magnitude
486 of weight at position N with a mutation from X to Y indicated that that specific mutation at that position

487 may be significant. Similarly, lower magnitude of weight of a mutation at a specific position would
488 indicate that that mutation at that specific position is not significant. Therefore, this method allowed for
489 the identification of not only the important positions, but also the significant mutations at those positions.

490

491 **Sequence, data analyses, structural modeling and visualization.** A total of 11,965 PB1 protein
492 sequences from avian, swine, and human H1N1 IAVs were downloaded from Influenza Research
493 Database (<https://www.fludb.org>). After removing the duplicate protein sequences, the sequences were
494 aligned using MUSCLE [61]. The visualization of the three-dimensional PB1 structure (PDB ID 4WSB)
495 and the overall polymerase structure (PDB ID 6QNW) was performed using PyMol (<https://pymol.org>).
496 Template/primer/substrate/metal ions are modeled into the structure by superimposing it with the reovirus
497 polymerase structure (PDB ID 1N38) using Dali [62].

498

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506

507

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- 651

652 **Tables**653 **Table 1.** Variations between the PB1 enzymatic site (393-490) of H1N1 IAV and that of pdm09.
654

Host ^a	No. of Sequences	Location of varied residues ^b							Total mutations
		393-400 (Inter-motif)	401-422 (Motif B)	423-435 (Inter-motif)	436-449 (Motif C)	450-473 (Inter-motif)	474-486 (Motif D)	487-490 (Motif E)	
Human	9,443	3,441	15	5,208	11	1,684	1,502	2	11,863 (76.7%) ^d
Avian	485	19	3	74	2	28	11	0	137 (0.9%)
Swine	2,037	553	30	1,384	15	675	808	1	3,466 (22.4%)
	Total mutations	4,013 (25.95%) ^c	48 (0.31%)	6,666 (43.10%)	28 (0.18%)	2,387 (15.43%)	2,321 (15.01%)	3 (0.02%)	15,466

Note: ^athe host origin of H1N1 IAV; ^b the region covers three inter-motif region and four motifs (B, C, D, and E); ^cthe total number of mutations, and the number in the parenthesis denotes the percentile of those mutations in the specific region; ^dthe total number of mutations, and the number in the parenthesis denotes the percentile of those mutations found in human, avian, or swine IAVs.

655

656 **Table 2.** Residues in the PB1 enzymatic site identified by machine learning to be associated with
 657 polymerase activities.

Location	Residue	Weight ^a	Mutant (R) ^b
Inter-motif	395	0.01018743	<u>L395I</u> (6.555), <u>L395P</u> (0.026)
	400	0.0124385	<u>T400S</u> (0.779), <u>T400A</u> -K430T-Y431F-Q460R (0.517), <u>T400I</u> (0.291), <u>T400S</u> -M408L-N425K-W437R (0.023), <u>T400S</u> -R468W (0.002)
Motif B	402	0.0081042	<u>S402T</u> -I450L (1.134), <u>S402P</u> (0.027), <u>S402P</u> -Y467F-K471M-M477V (0.01), <u>S402T</u> -D446V (0.006)
	417	0.009831	<u>T417S</u> (1.125), A401T- <u>T417A</u> -D439N (0.049), <u>T417S</u> -G420R-L424Q (0.03), <u>T417S</u> -G440W-M477V (0.025), <u>T417S</u> -A453T (0.022), L403P- <u>T417A</u> -E457V (0.008), <u>T417M</u> -E457D-I459L (0.007), <u>T417S</u> -I423K (0.006), <u>T417M</u> (0.005), S416T- <u>T417S</u> -M477L (0.005), P405H- <u>T417R</u> -Q428H (0.004)
	419	0.0093204	<u>L419S</u> -I423V (2.186), <u>L419M</u> (1.708), M414V- <u>L419F</u> -V463M (0.873), L419S- <u>L449I</u> (0.155), M414I- <u>L419S</u> -I435L-A453V-V473E-G474R-N476T (0.016), A401T-N413D- <u>L419S</u> -S443L-Q460R (0.014), G410C- <u>L419M</u> (0.012), <u>L419S</u> -Q428R-K433M-A448T (0.007), <u>L419W</u> -K429R-R468W-N485I (0.006)
Inter-motif	428	0.01272691	<u>Q428H</u> (1.337), <u>Q428R</u> -K429N (0.876), <u>Q428E</u> -R468M (0.087), M414K- <u>Q428H</u> -Y431F-A453S (0.037), <u>Q428L</u> -Q442L-D445V (0.026), <u>Q428H</u> -Y467N (0.024), <u>Q428L</u> -Y436H-Y467H (0.01), N425D- <u>Q428H</u> -A461S-N476S-K479E (0.01), M409I- <u>Q428R</u> -Y436N (0.008), L419S- <u>Q428R</u> -K433M-A448T (0.007), L396P-S416N- <u>Q428L</u> -W438L (0.006), M409L-Q428H (0.005), P405H-T417R- <u>Q428H</u> (0.004), <u>Q428R</u> -P454S (0.003)
	429	0.01861988	<u>K429Q</u> (1.669), <u>K429N</u> (1.224), <u>K429R</u> -H456Q (1.2), <u>K429R</u> -T432S (1.161), Q428R- <u>K429N</u> (0.876), <u>K429M</u> (0.866), <u>K429N</u> -N455I (0.22), <u>K429T</u> -K471E (0.152), M408T-M414I- <u>K429E</u> -D439V-S478N (0.059), G410D- <u>K429N</u> (0.016), I423V- <u>K429M</u> -D446E-I450V (0.009), L419W- <u>K429R</u> -R468W-N485I (0.006)
	432	0.0100022	<u>T432A</u> (1.358), K429R- <u>T432S</u> (1.161), <u>T432S</u> (0.973), <u>T432I</u> (0.794), <u>T432A</u> -E457V (0.56), T432I-D439G (0.47), I423L-L424M- <u>T432I</u> (0.194), <u>T432I</u> -A448D (0.017), M409L-L415I- <u>T432A</u> -V451L-Y467F (0.015), N413K-L426R- <u>T432I</u> (0.01), G427E- <u>T432I</u> -V451L (0.008), <u>T432A</u> -S482F (0.004), F412Y- <u>T432S</u> -D445N-V463A-Y467H (0.002)
	434	0.0274777	<u>T434R</u> (2.316), <u>T434S</u> (1.577), <u>T434P</u> -F447I (0.129), <u>T434I</u> (0.044), <u>T434I</u> -G440V-V451M (0.017), M407I- <u>T434S</u> -D439E (0.016)
Motif C	442	0.00908953	<u>Q442H</u> (2.218), <u>Q442L</u> -V451E-A461T (0.725), <u>Q442L</u> (0.525), <u>Q442H</u> -T469I (0.476), <u>Q442L</u> -T469I-L472I (0.094), <u>Q442K</u> -I450L-Q460R (0.028), Q428L- <u>Q442L</u> -D445V (0.026), M409N-S416I- <u>Q442R</u> (0.023), N413S- <u>Q442H</u> -N476K-K479N-K486R (0.019), L415Q- <u>Q442E</u> -N476H (0.008), <u>Q442K</u> (0.007), S416G-V421D-L441I- <u>Q442H</u> -I450V-N452I-Y467N (0.005), <u>Q442P</u> -I475V (0.003)
	443	0.0083673	Y436F- <u>S443Q</u> -N485D (0.042), <u>S443T</u> (0.022), A401T-N413D-L419S- <u>S443L</u> -Q460R (0.014), <u>S443P</u> (0.012), K433T- <u>S443L</u> (0.009), S416C- <u>K433N</u> -S443L (0.007), Y431N- <u>S443P</u> -I450M-N452Y-A461S (0.006)
	446	0.0091995	<u>D446E</u> (0.028), G420E- <u>D446E</u> -G474V (0.023), G440E- <u>D446E</u> (0.016), L396I- <u>D446V</u> (0.015), <u>D446V</u> (0.013), G420R- <u>D446E</u> -F466L (0.012), <u>D446Y</u> (0.011), I423V-K429M- <u>D446E</u> -I450V (0.009), G440R- <u>D446Y</u> -P454L (0.008), K430E- <u>D446N</u> -L449P-N485Y (0.008), <u>D446E</u> -N485H (0.006), S402T- <u>D446V</u> (0.006), <u>D446N</u> -N476D (0.005), F412L- <u>D446S</u> (0.003), S404T-P405A-W437R- <u>D446E</u> (0.002)
	447	0.01115407	<u>F447I</u> (0.924), <u>F447Y</u> (0.888), <u>F447L</u> (0.515), W437C- <u>F447L</u> -C470S (0.358), T434P- <u>F447I</u> (0.129), F412L- <u>F447Y</u> (0.109), Y436H- <u>F447Y</u> (0.046), <u>F447C</u> (0.045), F412I- <u>F447S</u> -N455S (0.029), <u>F447Y</u> -N452K-Y483N (0.016), <u>F447Y</u> -D464G (0.013), G406A- <u>F447L</u> (0.012), <u>F447Y</u> -A448D-L472I-I475V (0.011), M408L- <u>F447C</u> (0.008), <u>F447L</u> -I450T (0.004), <u>F447Y</u> -N476I (0.003)
Inter-motif	459	0.0098647	H456P- <u>I459L</u> (2.006), <u>I459L</u> (1.689), N452K- <u>I459L</u> (1.295), <u>I459T</u> (0.419), P454R-E457V- <u>I459T</u> (0.208), L426H- <u>I459M</u> (0.027), S444T- <u>I459L</u> (0.025), G406E-S422P-S444P- <u>I459L</u> -K479I (0.01), A401S- <u>I459L</u> -K471M-K480M (0.008), T417M-E457D- <u>I459L</u> (0.007)
	460	0.008106	K433N- <u>Q460H</u> (5.241), <u>Q460H</u> (0.767), L441H- <u>Q460K</u> (0.626), T400A-K430T-Y431F- <u>Q460R</u> (0.517), E457D- <u>Q460L</u> -V473M (0.339), Q442K-I450L- <u>Q460R</u> (0.028), <u>Q460L</u> -Y467C (0.015), A401T-N413D-L419S-S443L- <u>Q460R</u> (0.014), M409V- <u>Q460P</u> -S478N (0.01)
	462	0.0108798	<u>G462V</u> (0.022), <u>G462R</u> -V473E (0.016), <u>G462E</u> -M477I (0.013), <u>G462R</u> (0.006), F412L- <u>G462R</u> (0.004)
Motif D	481	0.0096242	N413I- <u>K481M</u> (0.066), N413D-D464E- <u>K481E</u> (0.053), M409R- <u>K481M</u> (0.025), W438R- <u>K481R</u> (0.017), <u>K481R</u> (0.007), D464G- <u>K481E</u> (0.003)
	482	0.0092459	V418D- <u>S482F</u> (0.022), <u>S482Y</u> (0.012), T432A- <u>S482F</u> (0.004), K433M-N452S- <u>S482F</u> (0.002)
	486	0.0193654	<u>K486R</u> (2.122), H456Y- <u>K486M</u> (2.085), <u>K486M</u> (1.744), <u>K486E</u> (0.28), A448D-K471M- <u>K486M</u> (0.04), N413S-Q442H-N476K-K479N- <u>K486R</u> (0.019), N413D-R465G-T469A- <u>K486M</u> (0.011), L426P-L472F- <u>K486R</u> (0.006), M411R-D464V- <u>K486M</u> (0.006), G427S-D439H-N452I-M477I- <u>K486R</u> (0.001)

658

^aThe absolute value of the weight derived from machine learning; ^bBased on the related polymerase activity (R), we categorized these mutants into four groups: high ($R \geq 2.00$), moderate ($0.50 \leq R < 2.00$), low ($0.10 \leq R < 0.50$), or abolished polymerase activity ($R < 0.10$). The mutant with high polymerase activities are underlined, and those with abolished were labeled in red.

659 **Figure captions**

660 **Figure 1. The PB1 enzymatic site to be mapped in this study.** (A) Ribbon diagram of PB1 protein
 661 color-coded according by those reported domain structures, and the target mutation region is denoted by
 662 line format instead of ribbon. (B) Ribbon diagram of the target mutation region with color scheme
 663 denoting location of conserved motifs. (C) the target mutation region in color scheme denoting location of
 664 conserved motifs and variations observed in H1N1 IAVs recovered from human, swine, and avian. The
 665 visualization of three dimensional structure of PB1 (PDB ID 4WSB) was performed using PyMol
 666 (<https://pymol.org>).

667

668 **Figure 2. Profile of the PB1 mutants generated using ep-PCR.** (A) Frequency of the mutations of the
 669 480 unique mutants at each residue within the target mutation region. (B) Distribution of polymerase
 670 activities for the 480 unique PB1 mutants, which were classified based on the relative polymerase
 671 activities (R) as high ($R \geq 2.00$; $n = 35$), moderate ($0.50 \leq R < 2.00$; $n = 99$), low ($0.10 \leq R < 0.50$; $n =$
 672 50), or abolished polymerase activity ($R < 0.10$; $n = 296$). To make the analyses to be quantifiable, the
 673 luciferase activity (L) for a testing RNP complex is calculated by *Renilla/firefly*, and R for a testing
 674 mutant by $L_{\text{mutantPB1}}/L_{\text{WT-PB1}}$. (C) Luciferase activity of six PB1 mutants at 37°C selected for Western blot
 675 analysis. Data are expressed as the mean \pm standard deviation of three replicates normalized to wild-type.
 676 (D) Western blot analysis showing NP expression level for RNP complex containing wild-type pdm09
 677 PB1 and mutants. The numbers below the Western blot were the relative protein expression ratio (RPER);
 678 the NP protein expression was first normalized by β -actin, and RPER for a testing mutant is calculated by
 679 the ratio of the normalized NP value for a mutant PB1 over that of the WT PB1.

680

681 **Figure 3. Structural modeling of the mapped residues associated with polymerase activities (Table**
 682 **2).** (A) The overall structure of the polymerase (PDB ID 6QNW). Template/primer/substrate/metal ions
 683 are modeled into the structure by superimposing it with the reovirus polymerase structure (PDB ID 1N38)
 684 using Dali [62]. The residues associated with increasing polymerase activities are shown in green and

685 those associated with decreasing polymerase activities in red. The PA is colored in pink, PB1 in light blue,
686 PB2 in light green, RNA/NTP/Mn in magenta. (B) the PB1 mutation region (aa393-490). (C) and (D)
687 Magnified views of the boxed regions in (A). Template and priming RNA are labeled. RNA and
688 nucleotide are shown in sticks while the two metal ions are shown by spheres. The color scheme in (B-D)
689 is the same as in (A).

690

691 **Figure 4. The impacts of T400S and K486R on polymerase activity of human seasonal H3N2 or**
692 **avian origin swine H4N6 RNP.** Polymerase activity of 2009 pandemic H1N1 RNP complex with (A)
693 pdm09-PB1 harboring T400S and K486R; polymerase activity of human H3N2 RNP complex with (B)
694 pdm09-PB1 harboring T400S and K486R and (C) mem94-PB1 harboring T400S and K486R; polymerase
695 activity of avian origin swine H4N6 RNP complex with (D) pdm09-PB1 harboring T400S and K486R
696 and (E) sH4N6-PB1 harboring T400S and R486K. A/California/07/2009 (H1N1) is abbreviated as pdm09,
697 A/Memphis/7/1994 (H3N2) as mem94, and A/swine/Missouri/A01727926 (H4N6) as sH4N6. The data
698 were normalized to the RNP complex without mutations. Data were expressed as the mean \pm standard
699 deviation of three replicates. *P* values were calculated by using Student's t-test, and comparing wild-type
700 PB1 with the respective PB1 mutant. ***, $P < 0.001$; **, $P < 0.05$.

Fig. 1

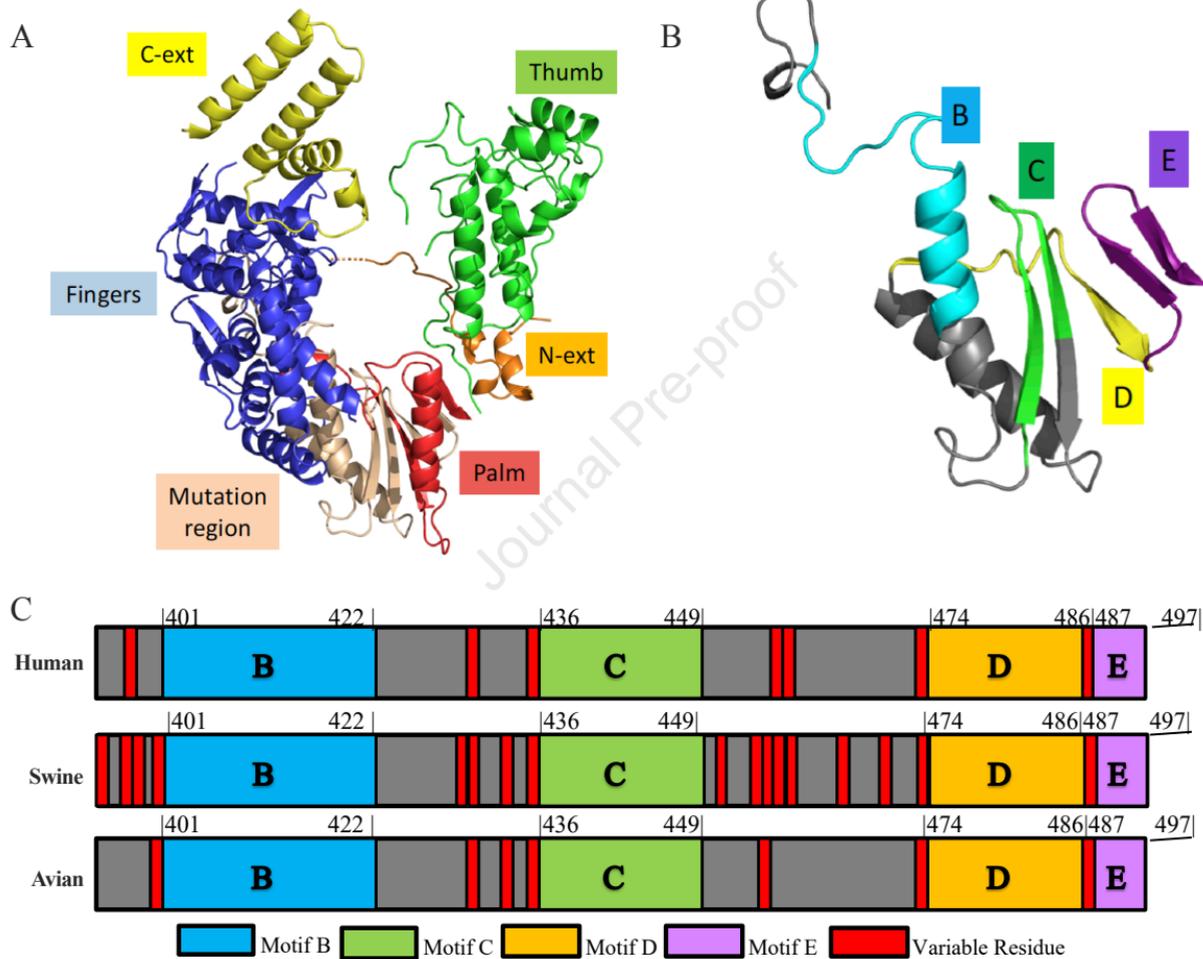


Figure 2

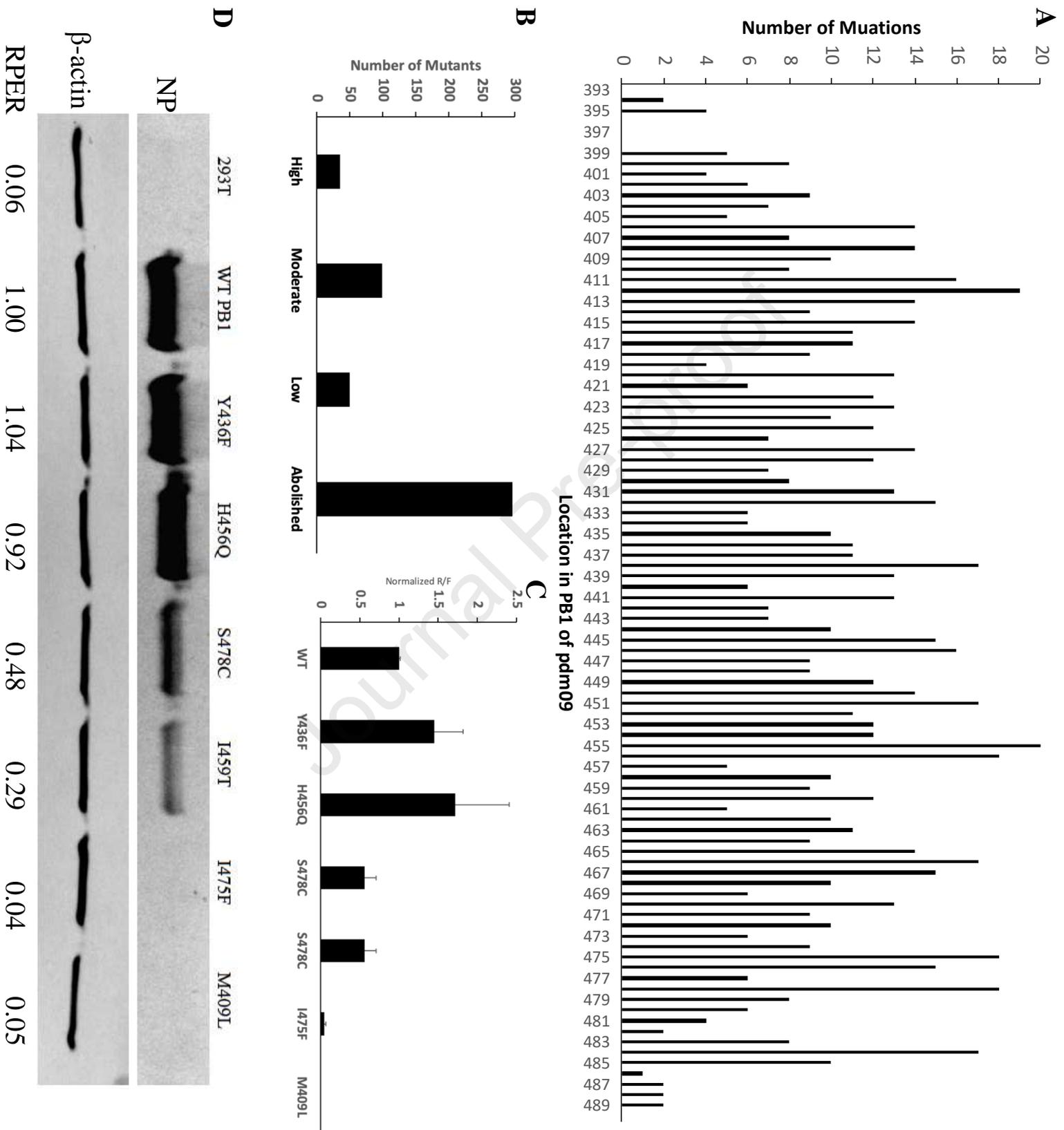


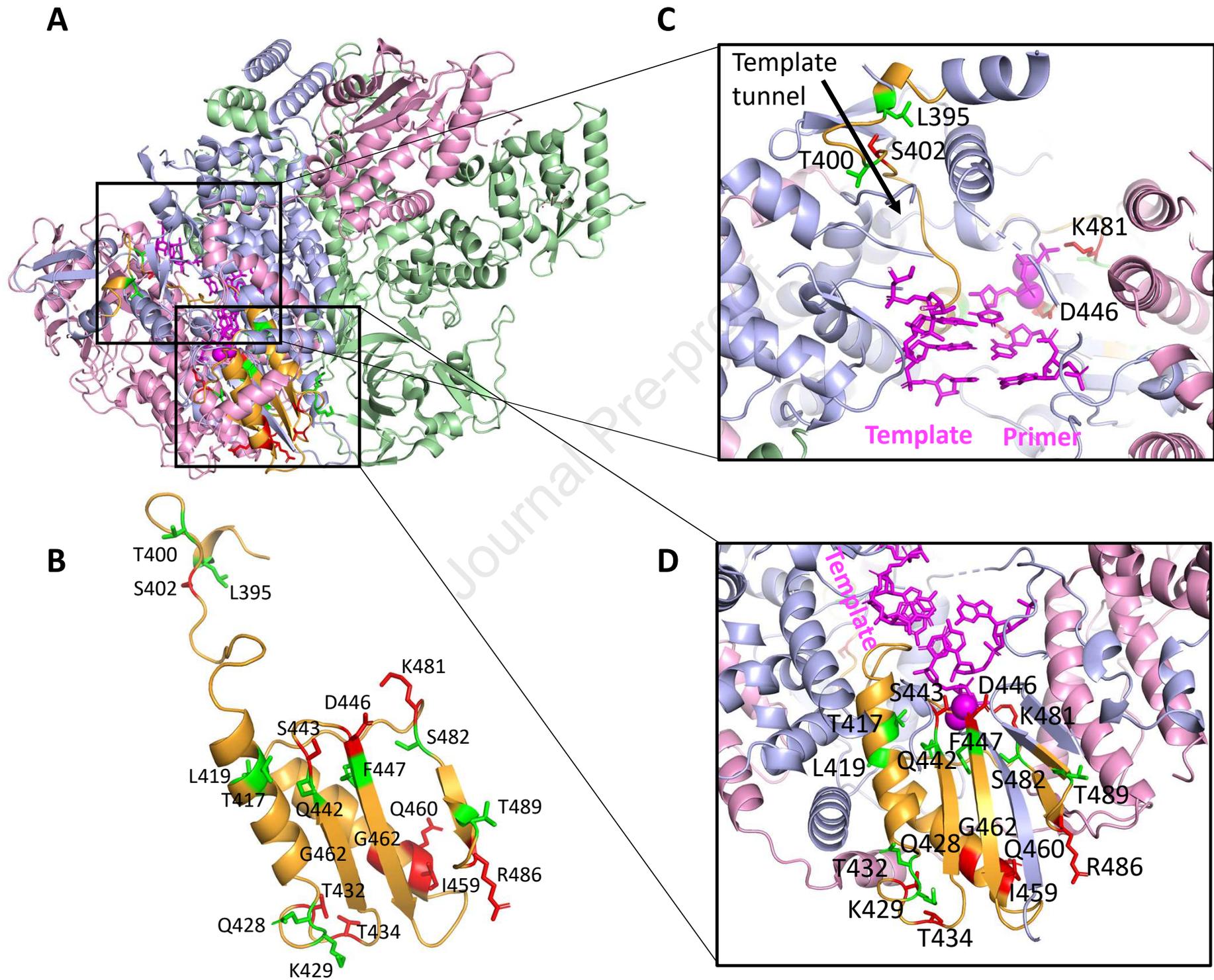
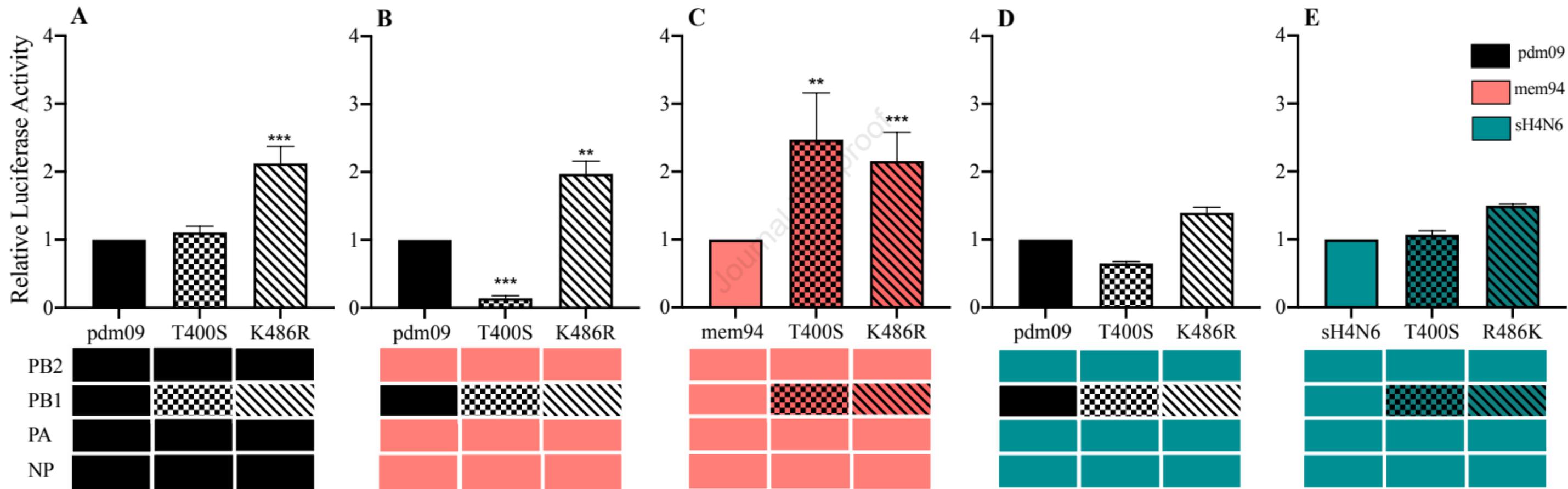
Figure 3

Figure 4



Highlights

- We systematically mapped polymerase associated residues in the PB1 enzymatic active site by integrating a large-scale mutagenesis strategy and computational analyses.
- Polymerase activities are affected by residues widely distributed in the inter-motif and conserved motif regions of the PB1 active site.
- The inter-motif region associated with polymerase activities are at the base of the palm domain with high sequence diversity among those of swine and human origin viruses but not those of avian origin viruses.
- Our results suggest that mutations at those residues outside the conserved motifs of PB1 could be associated with virus-host adaptation when an IAV switches hosts from avian to mammals.

Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

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